





UNDP/GEF PROJECT ENTITLED "REDUCING ENVIRONMENTAL STRESS IN THE YELLOW SEA LARGE MARINE ECOSYSTEM"

UNDP/GEF/YS/RWG-I.1/4 Date: 1 April 2005 English only

First Meeting of the Regional Working Group for the Investment Component Yantai, China, 17-20 May 2005

Expected Outputs¹ and Outcomes² of the Meeting

The final outcomes of the UNDP/GEF Yellow Sea Project are to prepare a Transboundary Diagnostic Analysis (TDA), Regional Strategic Action Programme (SAP), National Yellow Sea Action Plans (NYSAP), and demonstration of the SAP.

The TDA development is a requirement of the Global Environment Facility (GEF) International Waters Portfolio, to which this Project belongs. The TDA is a scientific and technical fact-finding analysis used to scale the relative importance of sources, causes and impacts of transboundary waters problems. It should be an objective assessment and not a negotiated document.

The analysis is carried out in a cross-sectoral manner, focusing on transboundary problems without ignoring national concerns and priorities. In order to make the analysis more effective and sustainable it will include a detailed 'governance analysis' which considers the local institutional, legal and policy environments. The TDA should be preceded by a consultation with all stakeholders, with the stakeholders involved throughout the subsequent processes. Four key points that underpin the TDA are:

- Joint fact-finding;
- Prioritisation;
- Participation; and
- Consensus.

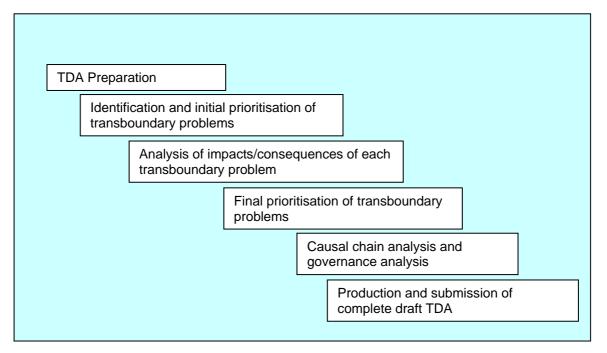
The TDA approach is not only a proven way of achieving progress, it also acts as a diagnostic tool for measuring the effectiveness of SAP implementation.

A general overview of TDA development is shown in Figure 1. In order to demonstrate the listed transboundary problems and perform the causal chain and governance analyses, one must have supporting scientific information, both historical and current. For further information, please refer to Document UNDP/GEF/YS/RSTP.2/5, available from the Project Management Office (PMO).

¹ "Outputs" in this document refer to tangible items, e.g. reports agreed lists of actions, proposals.

² "Outcomes" in this document refer to intangible results, e.g. improved co-ordinating mechanisms, agreements on issues.





The Regional Working Groups (RWGs) of this project are the main focal points to develop their respective component of the regional TDA during the first two years of Project implementation. Thus, it is crucial that the First RWG Meetings for the four natural science components agree on common methodologies to collect and present information for TDA inputs for their respective components, and agree on necessary actions to obtain the information. The RWG-Investment (RWG-I) will be responsible for preparing the TDA format, organising meetings for NYSAP preparation, and finalising the SAP.

National and Regional Co-operation and Co-ordination

The meeting participants should present the current situation regarding national coordination, in particular the formation of the IMC and the National Working Group. Involvement of the NGOs, local governments and local communities is a critical issue to ensure the success of the implementation of the project activities, in particular preparation and approval of the Strategic Action Programme and the National Strategic Action Plans.

For regional co-operation and co-ordination, it is expected that the members of the regional working group will come up with a list of regional organisations and projects, which have similar objectives, and the activities would be complementary to the YSLME. Annex 1 shows a draft list of organisations and projects.

Expected Outcomes and Outputs from the 1st RWG-I Meeting

Outcomes

Keeping in mind the goal of preparing a TDA, regional SAP, and NYSAPs for the Yellow Sea, the expected outcomes of the Meeting are:

- Agreed TOR for the RWG-I, based on the previous TOR in the Project Document, and taking into account any necessary modifications;
- Agreed TOR for the Inter-ministerial Co-ordination Functions and National Project Co-ordinator;
- Agreement on costs, types, and schedule of activities to implement, based on the proposed criteria prepared by the PMO;
- Establishment of public awareness campaigns;
- Establishment of capacity building programmes;
- Data and information management strategies;
- Identified financial sustainability needs;
- Co-operative measures with other RWGs and stakeholders, for preparing cross-component analysis; and
- National co-ordination mechanisms to fulfil Project objectives.

Outputs

Expected outputs include:

- List of costed actions;
- Suggested list of public awareness campaigns;
- Suggested list of capacity building programmes;
- List of stakeholders that should participate in annual stakeholder conferences;
- Document listing training needs in financial sustainability, data information management, capacity building, project sustainability;
- Proposal submitted to the Regional Science and Technical Panel on ways to co-ordinate with other RWGs and national and regional projects and institutions;
- Workplan 2005 to 2006 for Investment Component; and
- Meeting report adopted by RWG-I members.

Annex I

Draft List of Regional Organisations and Projects

Organisations

| APEC APEC FWG APEC MRCWG | Asia-Pacific Economic Cooperation: Fisheries Working Group Marine Resource Conservation Working Group |
|--------------------------------|---|
| FAO | Food and Agriculture Organisation of the United Nations |
| IOC/WESTPAC | Intergovernmental Oceanographic Commission – Sub-Commission for the Western Pacific |
| PICES | North Pacific Marine Science Organization |
| UNEP-NOWPAP | United Nations Environment Programme-Northwest Pacific Action Plan, Toyama – Busan Offices |
| WWF-Japan | World Wide Fund for Nature – Japan |
| WWF-China | World Wide Fund for Nature – China Office |
| | Wetlands International – China Office |

Projects / Programmes

| GEF/UNDP/IMO Glo | Ballast International Maritime Organization - Global Ballast Water Management Programme |
|------------------|---|
| GLOBEC - EYSEC | Global Ocean Ecosystem Dynamics (China GLOBEC II): Ecosystem Dynamics and Sustainable Utilization of Marine Living Resources in the East China Sea and Yellow Sea |
| JECSS | Japan and East China Sea Study on physical, biological, chemical and geological aspects of the Pacific Asian Marginal Seas |
| PEMSEA | Partnerships in Environmental Management of the Seas of East Asia |
| GEF/World Bank | Hai River Basin Integrated Water and Environment Management Project |