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**UNDP/GEF PROJECT ENTITLED “REDUCING ENVIRONMENTAL STRESS IN THE  
YELLOW SEA LARGE MARINE ECOSYSTEM”**

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UNDP/GEF/YS/RSP-PSC.5/7  
Date: 27 October 2008  
English only

**Fifth Meeting of the Regional Scientific and Technical Panel  
for the UNDP/GEF Yellow Sea Project**  
*Shanghai, China, 25-26 November 2008*

## **Preparations for Project Second Phase**

### **Background**

At the 4<sup>th</sup> RWG-Investment Meeting (16-18 October 2007, Jeju, ROK), members discussed ways for effective SAP implementation, i.e. the project’s next phase. Members felt that,

“In order to smoothly shift to the project’s second phase, a transition period is needed for preparing the initiation of Phase 2. Thus, it is necessary to consider an extension of the project for this transition period without requesting additional budget from the GEF.”<sup>1</sup>

Members also recommended this issue for the 4<sup>th</sup> RSTP and 4<sup>th</sup> PSC Meetings to consider for approval.

At the 4<sup>th</sup> RSTP Meeting (26-28 November 2007, Guangzhou, China), this issue was reported by the RWG-Investment Chairperson, whereby the RSTP members agreed to recommend to the PSC to consider having a 6-month project transition phase in 2010.

At the 4<sup>th</sup> PSC Meeting (29-30 November 2007, Guangzhou, China), the 4<sup>th</sup> RSTP Meeting Chairperson reported the recommendation from the RSTP members, and the PSC approved the recommendation.

While the SAP was being drafted by the members of the SAP Drafting Group, with input from the SAP Ad-hoc Working Group, these members suggested establishing a Phase 2 “Ad-hoc Working Group for preparing relevant documents for the possible 2<sup>nd</sup> phase...,”<sup>2</sup> to which the Second Special PSC Meeting (8 May 2008, Hangzhou, China) agreed.

### **Progress-to-date**

Following the above progression of events, the Project Management Office organised two Phase 2 Working Sessions in August and October this year.

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<sup>1</sup> From paragraph 9.1 of 4<sup>th</sup> RWG-Investment Meeting Report, UNDP/GEF/YS/RWG-1.4/3.

<sup>2</sup> From section 4.3 of Second Special PSC Meeting Report, UNDP/GEF/YS/SPSC.2/3.

At the first Working Session (26-28 August 2008, Dalian, China), a proposed list of activities was drafted, based on the SAP targets and management actions listed in the document. The members also discussed and agreed on the overall structure of the Project Identification Form (PIF), which is the project concept to be submitted to the GEF as the main first step in the proposal submission process.

After the first Working Session, members returned to their countries and discussed with their respective government agencies, the amount of co-financing that would be available to the project. Meanwhile, the PMO prepared the draft PIF and distributed it to all participants for review.

At the second Working Session (5-6 October 2008, Shanghai, China), the list of activities was reviewed some more, but most of the time was spent on suggesting amounts of in-kind and in-cash contribution from each country. Considerable effort was also spent on revising the draft PIF. The draft PIF is now under review by all three countries (China, ROK, DPRK).

Meanwhile, all 5 RWGs also reviewed the table of activities produced by the first Working Session, and all made some revisions to the proposed activities, either suggesting a few new ones, combining some activities, or re-wording some activities.

The latest Table of Activities is attached as [Annex I](#). The draft PIF is included in the meeting documents folder.

### **Next steps**

The proposed timeline for the next steps to take, as proposed by the 2<sup>nd</sup> Working Session, is attached as [Annex II](#). The RSTP is requested to review the Table of Activities, the draft PIF, and the proposed timeline, make necessary revisions, and submit these documents to the PSC for approval and eventual submission to the GEF.

**Annex I**  
**Proposed Table of Activities for Phase 2**  
**(Available upon request)**



**Annex II**

**Proposed Timeline: Next Steps to Plan for Project Phase 2**

| <b><u>Action</u></b>  | <b><u>Responsible Group</u></b> | <b><u>Date</u></b>  |
|---|---------------------------------|---------------------|
| Distribute PIF to countries   | PMO                             | 10-Oct-08           |
| Distribute 2P2WG report to countries                                | PMO                             | 15-Oct-08           |
| National discussions & revisions of PIF                             | countries                       | mid Oct - 10 Nov 08 |
| Provide comments to PMO   | countries                       | 10-Nov-08           |
| PMO incorporates comments for final draft PIF and send to countries | PMO                             | 14-Nov-08           |
| Regional discussion on final draft PIF?                             |                                 |                     |
| Distribute revised PIF and RSTP/PSC meeting docs to countries       | PMO                             | 14-Nov-08           |
| 5th RSTP/PSC Meetings   |                                 | 25-28 Nov 08        |
| Revision of PIF according to PSC's decision                         |                                 |                     |
| PIF endorsement   | countries                       |                     |
| Submit PIF through UNDP/GEF   |                                 |                     |
| Informal response from GEF  |                                 |                     |
| Preparation of full project document                                |                                 |                     |
| Submit PIF to GEF SEC   |                                 |                     |
| Approval of PIF by GEF Council                                      |                                 |                     |
| Submit ProDoc to GEF  |                                 |                     |
| CEO endorsement of ProDoc   |                                 |                     |
| Start 2nd phase   |                                 |                     |